# SAN RAFAEL CITY SCHOOLS REQUEST FOR QUALIFICATIONS (RFQ) #23-06 GEOTECHNICAL ENGINEERING SERVICES Measure B and C Projects

**NOTICE IS HEREBY GIVEN** that San Rafael City Schools ("District") is seeking qualified persons, firms, partnerships, corporations, associations, or professional organizations to provide professional geotechnical engineering services for selected projects under District's Measures B & C Bond Program.

Respondents to the RFQ shall email their Submittal to: <a href="mailto:phyllis.silverstein@vpcsonline.com">phyllis.silverstein@vpcsonline.com</a>

The Subject in the email shall be: SRCS Geotech – RFQ #23-06. Respondents to the RFQ shall address their proposal to:

Bob Marcucci, Assistant Superintendent Business Services SAN RAFAEL CITY SCHOOLS 310 Nova Albion Way San Rafael, CA 94080

**ALL RESPONSES ARE DUE BY 2:00 P.M. ON TUESDAY, APRIL 13, 2023.** Only email submissions will be accepted. Submittals received after this date and time will not be accepted.

Questions regarding the RFQ may be directed in writing to **Phyllis Silverstein** at <a href="mailto:phyllis.silverstein@vpcsonline.com">phyllis.silverstein@vpcsonline.com</a> and must be submitted in writing on or by **2:00 P.M. ON March 30, 2023**.

Each Submittal must conform and be responsive to the requirements set forth in the RFQ. District reserves the right to waive any informalities or irregularities in received Submittals. Further, District reserves the right to reject any and all Submittals and to negotiate contract terms with one or more Respondents for any portion of the services. District retains sole discretion to determine issues of compliance and to determine whether any Respondent is responsive, responsible, and qualified.

#### I. RFQ RESPONSE SCHEDULE

District reserves the right to change the dates on the schedule without prior notice.

| DATE/TIME                     | EVENT  |
|-------------------------------|--|
| March 23, 2023                | Release of RFQ.  |
| March 30, 2023, at 2:00 P.M.  | Deadline to receive written questions from Respondents.                          |
| April 4, 2023                 | Deadline for District to issue Addenda to answer questions / clarifications.     |
| April 13, 2023, at 2:00 P.M.  | Deadline for Submittals in response to RFQ.                                      |
| N/A                           | Interview notifications, if any.   |
| N/A                           | Interviews, if any, of Respondents.  |
| Anticipated by April 24, 2023 | Notice to selected Respondent(s) for inclusion in pool and contract negotiation. |

#### II. BACKGROUND

San Rafael City Schools ("District") includes the San Rafael Elementary School District and the San Rafael High School District, with a total student population of 6,950. The two districts are governed by one school board and one district office administration. The Elementary District is composed of nine schools. The High School District provides secondary education to students residing in two elementary districts: Miller Creek Elementary District and San Rafael Elementary District. The High School District has two comprehensive 9-12 high schools and a continuation high school. Additional information regarding the Master Facilities Plan can be found here:

#### https://www.srcsbondprogram.org/domain/31

District is seeking experienced and proven firms to provide geotechnical engineering services for selected projects under District's million Measures B & C Bond Program. This RFQ explains the services sought and generally outlines the requirements. District may use this RFQ to select a pool of qualified Respondents for future consideration.

#### III. SCOPE OF SERVICES

Any firm selected based on this RFQ process must be capable of providing full geotechnical engineering for any and all selected projects.

### 1. Geological and Soil Engineering General Requirements

a. The Geological and Soil Engineering Consultant ("GSE Consultant") shall be a registered Geotechnical Engineer in California with experience in soils

engineering. The work to be provided by the GSE Consultant includes the following: supply all equipment and labor to perform field exploration, drill test borings, sample soils and perform laboratory tests; evaluate geologic and seismic conditions; and provide full soils evaluations with detailed soils reports which shall include construction level recommendations, surface evaluation, subsurface exploration coring, boring log, r-value test results, grain size distribution, soils classification according to the Unified Soils Classification System, laboratory testing of earth materials collected, ground water evaluation, existing geologic, seismic, and soil conditions present within the Property, and evaluation of potential impacts to the Project under conditions associated with faults, strong seismic ground shaking, seismic-related ground failure such as liquefaction, landslides, and unstable geologic units and/or soils.

b. The geological and soil engineering studies of the Property shall be conducted in compliance with Education Code sections 17212.5. The GSE Consultant will be the District's advisor for the existing sites and identified projects with regard to compliance with statutes and regulations on Geological and Soil Engineering services. The successful GSE Consultant will ensure that the District complies with all laws and regulations governing Geological and Soil Engineering which are applicable to the construction, renovation, or modernization of a public school or public school facilities, including without limitation Public Resources

Code section 21000 et seq., Government Code section 65402, Education Code section 17210 et seq. and all applicable regulations. The successful GSE Consultant shall coordinate its work with the District's other consultants without limitation. The successful GSE Consultant shall respond to public comments on any reports issued by the GSE Consultant on the Project and shall attend and participate in any public hearings upon District request.

The selected Respondent must be capable of performing the full Scope of Services set forth at **Exhibit A** to the District's form of Independent Consultant Agreement for Geotechnical Engineering Services ("Agreement"), which is distributed with this RFQ as **Attachment A** and incorporated herein by this reference. The exact scope of services and final fee, however, will be negotiated with the selected firm and finalized in any resulting contract.

#### IV. FORM OF AGREEMENT

Respondent must be capable of executing and performing in accordance with District's form of Independent Consultant Agreement for Geotechnical Engineering Services ("Agreement"), which is distributed with this RFQ as **Attachment A** and incorporated herein by this reference. **Any proposed changes to the form of Agreement must be identified in Respondent's Submittal**; undisclosed change requests may not be entertained.

#### V. QUALIFIED POOL AND RECERTIFICATION

District may use this RFQ to maintain a pool of qualified Respondents for future consideration. Requests for recertification may be sent in District's sole discretion. Respondents who do not timely satisfy recertification requirements may be deleted from the prequalified pool, at sole discretion of District. Additional firms may be added to the pool, at District's sole discretion.

#### VI. LIMITATIONS

This RFQ is neither a formal request for bids, nor an offer by District to contract with any party responding to this RFQ. All decisions concerning selection will be made in the best interests of District. The awarding of a contract pursuant to this RFQ, if at all, is at sole discretion of District.

District makes no representation that participation in the RFQ process will lead to an award of contract or any consideration whatsoever. District shall in no event be responsible for the cost of preparing any Submittal in response to this RFQ.

Submittals and any other supporting materials submitted to District in response to this RFQ will not be returned and will become the property of District unless portions of the materials are designated as proprietary at the time of submittal, and are specifically requested to be returned. Vague designations and/or blanket statements regarding entire pages or documents are insufficient and will not bind District to protect the designated matter from disclosure.

Pursuant to *Michaelis, Montanari, & Johnson v. Superior Court* (2006) 38 Cal.4th 1065, Submittals shall be held confidential by District and shall not be subject to disclosure under the California Public Records Act until after either: (1) District and the successful Respondent have completed negotiations and entered into an Agreement, or (2) District has rejected all Submittals. Furthermore, District will have no liability to Respondent or other party as a result of any public disclosure of any Submittal.

#### VII. FULL OPPORTUNITY

No Respondent will be discriminated against on the basis of race, religious creed, color, national origin, ancestry, physical disability, mental disability, medical condition, genetic information, marital status, sex, gender, gender identity, gender expression, age, sexual orientation, or military and veteran status in any consideration leading to the award of the contract. District also affirmatively ensures that Disadvantaged Business Enterprises ("DBE"), Small Local Business Enterprises ("SLBE"), Small Emerging Local Business Enterprises ("SELBE"), and Disabled Veterans Business Enterprises ("DVBE") shall be afforded full opportunity to respond to this RFQ.

#### VIII. RESTRICTIONS ON LOBBYING AND CONTACTS

From the period beginning on the date of the issuance of this RFQ and ending on the date of the award of the contract, no person, or entity submitting in response to this RFQ, nor any officer, employee, representative, agent, or consultant representing such a person or entity shall contact through any means or engage in any discussion regarding this RFQ, the evaluation or selection process/or the award of the contract with any member of District, Governing Board, selection members, or any member of the Citizens' Oversight Committee. Any such contact shall be grounds for the disqualification of Respondent.

#### IX. RELATIONSHIP TO OUTSIDE GOVERNMENTAL AGENCIES

Depending upon the scope of work, Respondent may be required to assist District in working with various outside governmental agencies, including but not limited to, the following as applicable: City or County Planning Commissions and Departments, the Department of Toxic Substance Control ("DTSC"), the regional air quality control district, the State and regional water quality control boards, the State Department of Education, the Division of the State Architect, the State Allocation Board, and the Office of Public School Construction. Respondent shall discuss its relevant experience with these agencies.

#### X. SUBMITTAL REQUIREMENTS

#### A. Format

Respondents to this RFQ must comply with the following format requirements. Material must be in  $8-1/2 \times 11$  inch format. Submittals shall include divider tabs labeled with boldface headers below; e.g. the first tab would be entitled "Cover Letter", the second tab would be entitled "Business Information", etc. Submittals shall be no more than twenty (20) single-sided pages or ten (10) double-sided pages in length. This page limitation excludes front/back covers, divider sheets/tabs, and allowed appendices. Submittals containing more than the authorized number of pages will not be considered.

Provide one (1) electronic copy of the Submittal.

• The electronic copy will only be accepted via email in the following programs: Microsoft Office Suite or PDF.

#### B. Content

#### **1.** Cover Letter

Provide a letter of introduction signed by an authorized officer of Respondent. If Respondent is a joint venture, duplicate the signature block and have a principal or officer also sign on behalf of each party to the joint venture.

Include in the cover letter all of the following:

- Brief description of why Respondent is well suited for, and can meet, District's needs.
- Identification of individual(s) who are authorized to speak for Respondent during the evaluation process.
- One (1) of the follow statements:

"[INSERT RESPONDENT'S NAME] received a copy of District's form of Independent Consultant Agreement for Geotechnical Services ("Agreement") attached as Attachment A to the RFQ. [INSERT RESPONDENT'S NAME] has reviewed the Agreement, including, without limitation, the indemnity provisions and insurance provisions. If given the opportunity to contract with District, [INSERT RESPONDENT'S NAME] has no objections to the use of the Agreement."

OR

"[INSERT RESPONDENT'S NAME] received a copy of District's form of Independent Consultant Agreement for Geotechnical Services ("Agreement")

attached as Attachment A to the RFQ. [INSERT RESPONDENT'S NAME] has reviewed the Agreement, including, without limitation, the indemnity provisions and insurance provisions. If given the opportunity to contract with District, [INSERT RESPONDENT'S NAME] has objections to the use of the Agreement, all of which are identified in the Appendix to this Submittal."

A copy of District's form of Agreement is attached to this RFQ as **Attachment A**. To the extent Respondent has any objections to the form Agreement, Respondent must state the objection, and must provide a reasonable description of its requested change in response to the objection. **District will not entertain unidentified objections or vaguely described objections during any contract negotiation.** Objections may be attached as an Appendix to Respondent's response and will not count toward the page limit.

- Certification that no official or employee of District, nor any business entity in which
  an official of District has an interest, has been employed or retained to solicit or
  assist in the procuring of the resulting contract(s), nor that any such person will be
  employed in the performance of any/all contract(s) without immediate divulgence of
  this fact to District.
- Certification that no official or employee of Respondent has ever been convicted of an ethics violation.
- Evidence that Respondent is legally permitted to conduct business in the State of California and properly licensed (as applicable) for the scope of services.
- Above the signature(s) the following language: "By virtue of submission, [INSERT RESPONDENT'S NAME] declares that all information provided in the Submittal is true and correct."

#### 2. Business Information

- Company name.
- Address.
- Telephone.
- Fax.
- Website.
- Name and email of main contact.
- Federal Tax I.D. Number.
- License or Registration Number (if applicable).
- Type of organization (e.g., corporation, partnership, etc.). If a joint venture, describe the division of responsibilities between participating companies, offices (location) that would be the primary participants, and percentage interest of each firm.
- A brief description and history of Respondent, including number of years Respondent has been in business and date established under this name.

- Number of employees.
- Location of office where the bulk of services solicited will be performed.
- State of California certification of Small Business or Disabled Veteran Business Enterprise status, if any.
- How subconsultants are generally used by your firm and to what extent work is performed in-house versus by subconsultant(s).

#### 3. Relevant Qualifications

Describe your firm's experience, expertise, and approach in the following areas:

- Working with the California Geological Survey ("CGS") and CGS processes.
- Conformance with federal/state/local applicable code requirements.
- Provide a brief overview as to the proposed strategy and approach to the required needs for the various categories responding to
- Identify lead time requirements for scheduling of services and milestones (length of time to schedule a drill rig, receive lab results and provide a report)
- Include any client references and/or letters of recommendation

#### 4. Relevant Project Experience

Provide information about prior professional services furnished by your firm in the last ten (10) years on a minimum of five (5) K-12 educational projects, and list the following for each project:

- District name and name of contact person, title, telephone number, and email address to be contacted for a reference.
- Project name and location.
- Completion date of project/current status.
- Briefly state relevance of the project for consideration in this RFQ.
- Key individuals of the firm involved and their roles in the project.
- Any subconsultants that worked with the firm.
- Contractor names and contact information.
- IOR names and contact information

### 5. Proposed Project Team

Identify key team members, including subconsultants, and state their qualifications relevant to the scope of services and anticipated role in delivering the services. List dates of employment by your firm whether employed as an employee, independent contractor, subconsultant, or otherwise, and office addresses for each of the identified personnel. Describe for each his/her/their experience with public school construction projects, including

identifying the projects for the past five (5) years.

If subconsultants are to provide any work, include a statement as to how this shall be organized, including identified roles and qualifications of subconsultants, if any. Respondent will be required to demonstrate long term relationships with any subconsultants.

District expects that the team shall remain intact through the duration of any contract. If a team member must leave, District reserves the right to approve that team member's replacement.

#### 6. Litigation History

Provide a comprehensive five (5)-year summary of Respondent's litigation history (including arbitration and mediation) with any clients (current or previous). This includes current/ongoing matters. State the issues in the litigation, the status of the litigation, names of parties, and outcome. A Submittal failing to provide the requested information on litigation history, will be considered non-responsive.

#### 7. Fee Proposal

Include proposed: hourly billing rates by position, staffing plan, and reimbursable schedule.

#### 8. Appendix

Shall include:

- Executed Non-Collusion Declaration (Attachment B)
- Executed Iran Contracting Act Certification (**Attachment C**)
- Certificate(s) of Insurance identifying Respondent's current insurance coverages.

May include:

- Key team member resumes.
- Identification and explanation of any and all objections to the form of Agreement.

#### XI. <u>SELECTION PROCESS</u>

#### A. Selection Criteria

Each Submittal must be complete. Incomplete submittals will be considered nonresponsive and grounds for disqualification. District retains sole discretion to determine issues of compliance and to determine whether a Respondent is responsive, responsible, and qualified. District may elect to conduct interviews with some or all of Respondents.

The criteria for evaluating Respondents may include, without limitation, the following:

- Overall responsiveness of the Submittal;
- Experience and performance history of Respondent with similar services;
- Qualifications, experience, and results of proposed personnel;
- Value of services under proposed fees;

- References from clients;
- Capacity and commitment to provide services to District; and
- Technical capabilities and track record of use.

District will identify Respondent(s) that can provide the greatest overall benefit to District and may also identify Respondent(s) for inclusion in a qualified pool for consideration for future contracts.

#### B. District Investigations

District may perform investigations of Respondents that extend beyond contacting the references identified in the Submittal. District may request a Respondent submit additional information pertinent to the review process. District also reserves the right to investigate and rely upon information from other available sources in addition to any documents or information submitted.

#### C. <u>Interviews</u>

District, at its sole discretion, may elect to interview one or more Respondents. If a Respondent is requested to come for an interview, the key proposed staff will be expected to attend the interview. Any proposed changes to the form of Agreement attached hereto as Attachment A shall be provided with the Submittal and may be the subject of inquiry at the interview.

#### D. <u>Final Determination and Award</u>

District reserves the right to contract with any entity responding to this RFQ for all or any portion of the services described herein, to reject any Submittal as nonresponsive, and/or not to contract with any Respondent for the services described herein. District makes no representation that participation in the RFQ process will lead to an award of contract or any consideration whatsoever. District reserves the right to contract with any person or firm not participating in this process. District shall in no event be responsible for the cost of preparing any Submittal in response to this RFQ, including any supporting materials.

Awarding of contract(s) is at sole discretion of District. District may, at its option, determine to award contract(s) only for portions of the scope of services identified herein. In such case, the successful Respondent(s) will be given the option not to agree to enter into the contract and District will retain the right to negotiate with any other Respondent selected as a finalist. If no finalist is willing to enter into a contract for the reduced scope of work, District will retain the right to enter into negotiations with any other Respondent to this RFQ.

#### WE THANK YOU FOR YOUR INTEREST!

### **ATTACHMENT A**

## **Form of Agreement**

Attached starting on next page.

# INDEPENDENT CONSULTANT AGREEMENT FOR GEOTECHNICAL ENGINEERING SERVICES

| by        | This Independent Consultant Agreement for Geotechnical Engineering Services Agreement") is made and entered into as of the day of, 202_ and between the San Rafael City Schools, ("District") and  Consultant"), (together, "Parties").   |
|-----------|---|
|           | WHEREAS, Public Contract Code section 20111, subdivision (d), provides that ofessional services, requiring specialized knowledge, training, or skill, are not subject to blic bidding requirements; and   |
| env<br>on | WHEREAS, Government Code section 4526, authorizes District to contract with and aploy any person(s) for the furnishing of architecture, landscape architecture, vironmental, engineering, land surveying, and construction project management services the basis of demonstrated competence and on the professional qualifications necessary for a satisfactory performance of the services required; and |
| (co       | WHEREAS, District duly determined that it needs some or all of the services ollectively, "Services") to be provided pursuant to this Agreement; and   |
| Sei       | WHEREAS, Consultant is specially trained, experienced, and competent to perform the rvices required by District, as needed on the basis set forth in this Agreement.  |
|           | NOW, THEREFORE, the Parties agree as follows:   |
| 1.        | <b>Services</b> . Consultant shall provide Geotechnical Engineering services as further described in <b>Exhibit A</b> , attached hereto and incorporated herein by this reference ("Services").   |
| 2.        | <b>Term</b> . Consultant shall commence providing services under this Agreement on, 20and will diligently perform as required and complete performance by, 20("Term"), unless this Agreement is terminated and/or otherwise cancelled prior to that time.   |
| 3.        | <b>Submittal of Documents</b> . Consultant shall not commence the Services under this Agreement until Consultant has submitted and District has approved the documents, certificate(s) and affidavit(s), and endorsement(s) of insurance required as indicated below:   |
|           | <ul> <li>X Signed Agreement</li> <li>X Workers' Compensation Certification</li> <li>X Prevailing Wage Certification</li> <li>X Fingerprinting/Criminal Background Investigation Certification</li> <li>X Insurance Certificates and Endorsements</li> <li>X W-9 Form</li> <li>Other:</li> </ul>   |
| 4.        | <b>Compensation</b> . District agrees to pay Consultant for services satisfactorily rendered pursuant to this Agreement a total fee not to exceed Dollars (\$). District shall pay Consultant according to the following terms and conditions:  |

|    | 4.1.  | Payment for the Services shall be made for all undisputed amounts based upon the delivery of the work product as determined by District. Payment shall be made within thirty (30) days after Consultant submits an invoice to District for Services actually completed and after District's written approval of the Services, or the portion of the Services for which payment is to be made. The schedule of deliverable Services is as follows:  |
|----|---|--|
|    |   | 4.1.1  |
|    |   | 4.1.3.   |
|    |   | 4.1.4  |
|    |   |  |
|    | 4.2.  | The Services shall be performed at the hourly billing rates and/or unit prices included in <b>Exhibit B</b> . If hourly billing applies, the itemized invoice shall reflect the hours spent by Consultant in performing its Services pursuant to this Agreement.   |
|    | 4.3.  | If Consultant works at more than one site, Consultant shall invoice for each site separately.  |
| 5. | suppl   | <b>rials</b> . Consultant shall furnish, at its own expense, all labor, materials, equipment, ies and other items necessary to complete the services to be provided pursuant to greement, except as follows:   |
|    | 5.1.  | <u> </u>   |
| 6. |   | <b>nses</b> . District shall not be liable to Consultant for any costs or expenses paid or red by Consultant in performing services for District, except as follows:   |
|    | 6.1.  | <u>.</u>   |
| 7. | indep<br>of Dist<br>that a<br>an in-<br>involv<br>Consi<br>office<br>benef<br>Distri<br>Unem<br>respo | pendent Contractor. Consultant represents and warrants that Consultant is an endent contractor or business entity that is: (i) free from the control and direction strict in connection with the performance of the Services, (ii) performing Services are outside the usual course of District's business, and (iii) customarily engaged in dependently established trade, occupation, or business of the same nature as that yed in the Services performed, District being interested only in the results obtained. Ultant understands and agrees that it and all of its employees shall not be considered are, employees, agents, partner, or joint venture of District, and are not entitled to fits of any kind or nature normally provided employees of District and/or to which cit's employees are normally entitled, including, but not limited to, State apployment Compensation or Worker's Compensation. Consultant shall assume full insibility for payment of all federal, state and local taxes or contributions, including ployment insurance, social security and income taxes with respect to Consultant's |

#### 8. Performance of Services.

employees.

8.1. **Standard of Care**. Consultant represents that Consultant has the qualifications and ability to perform the Services in a professional manner, without the advice, control or supervision of District. Consultant's services will be performed, findings obtained, reports and recommendations prepared in accordance with generally and

- currently accepted principles and practices of its profession for services to California school districts.
- 8.2. **Due Diligence.** Consultant shall carefully study and compare all documents, findings, and other instructions and shall at once report to District, in writing, any error, inconsistency, or omission that Consultant or its employees may discover. Consultant shall have responsibility for discovery of errors, inconsistencies, or omissions.
- 8.3. **Meetings.** Consultant and District agree to participate in meetings to discuss strategies, timetables, implementations of services, and any other issues deemed relevant to the operation of Consultant's performance of Services.
- 8.4. **District Approval.** The Services completed herein must meet the approval of District and shall be subject to District's general right of inspection and supervision to secure the satisfactory completion thereof.
- 8.5. **New Project Approval.** Consultant and District recognize that Consultant's Services may include working on various Projects for District. Consultant shall obtain the approval of District prior to the commencement of a new Project.
- 9. **Originality of Services**. Except as to standard generic details, Consultant agrees that all technologies, formulae, procedures, processes, methods, writings, ideas, dialogue, compositions, recordings, teleplays and video productions prepared for, written for, or submitted to District and/or used in connection with this Agreement, shall be wholly original to Consultant and shall not be copied in whole or in part from any other source, except that submitted to Consultant by District as a basis for such services.
- 10. Ownership of Data. Pursuant to Education Code section 17316, this Agreement creates a non-exclusive and perpetual license for District to use, at its discretion, all plans including, but not limited to, record drawings, specifications, estimates and other documents that Consultant prepared or caused to be prepared pursuant to this Agreement. Consultant retains all rights to all copyrights over designs and other intellectual property embodied in the plans, record drawings, specifications, estimates, and other documents that Consultant prepares or causes to be prepared pursuant to this Agreement.

In the event District changes or uses any fully or partially completed documents without Consultant's knowledge or participation or both, District agrees to release Consultant of responsibility for such changes and shall hold Consultant harmless from and against any and all claims on account of any damages or losses to property or persons, or economic losses, arising out of that change or use, unless Consultant is found to be liable in a forum of competent jurisdiction. In the event that District uses any fully or partially completed documents without Consultant's full involvement, District shall remove all title blocks and other information that might identify Consultant.

11. Audit. Consultant shall establish and maintain books, records, and systems of account, in accordance with generally accepted accounting principles, reflecting all business operations of Consultant transacted under this Agreement. Consultant shall retain these books, records, and systems of account during the Term of this Agreement and for five (5) years thereafter. Consultant shall permit District, its agent, other representatives, or an independent auditor to audit, examine, and make excerpts, copies, and transcripts from all books and records, and to make audit(s) of all billing statements, invoices,

records, and other data related to the Services covered by this Agreement. Audit(s) may be performed at any time, provided that District shall give reasonable prior notice to Consultant and shall conduct audit(s) during Consultant's normal business hours, unless Consultant otherwise consents.

12. **Disputes.** In the event of a dispute between the parties as to performance of the Services, the interpretation of this Agreement, or payment or nonpayment for work performed or not performed, the parties shall attempt to resolve the dispute in good faith. Pending resolution of the dispute, Consultant agrees it will neither rescind the Agreement nor stop the performance of the Services, but will allow determination by the court of the State of California, in the county in which District's administration office is located, having competent jurisdiction of the dispute. Disputes may be determined by mediation if mutually agreeable, otherwise by litigation. Notice of the demand for mediation of a dispute shall be filed in writing with the other party to the Agreement. The demand for mediation shall be made within a reasonable time after written notice of the dispute has been provided to the other party, but in no case longer than ninety (90) days after initial written notice. If a claim, or any portion thereof, remains in dispute upon satisfaction of all applicable dispute resolution requirements, Consultant shall comply with all claims presentation requirements as provided in Chapter 1 (commencing with section 900) and Chapter 2 (commencing with section 910) of Part 3 of Division 3.6 of Title 1 of Government Code as a condition precedent to Consultant's right to bring a civil action against District. For purposes of those provisions, the running of the time within which a claim must be presented to District shall be tolled from the time Consultant submits its written claim until the time the claim is denied, including any time utilized by any applicable meet and confer process.

#### 13. **Termination**.

- 13.1. **For Convenience by District**. District may, at any time, with or without reason, terminate this Agreement for its own convenience and compensate Consultant only for services satisfactorily rendered to the date of termination. Written notice by District shall be sufficient to stop further performance of services by Consultant. Notice shall be deemed given when received by Consultant or no later than three (3) days after the day of mailing, whichever is sooner.
- 13.2. **With Cause by District**. District may terminate this Agreement upon giving of written notice of intention to terminate for cause. Cause shall include:
  - 13.2.1. material violation of this Agreement by Consultant; or
  - 13.2.2. any act by Consultant exposing District to liability to others for personal injury or property damage.

Written notice by District shall contain the reasons for such intention to terminate. Unless within three (3) calendar days after that notice the condition or violation shall cease, or satisfactory arrangements for the correction thereof be made, this Agreement shall upon the expiration of the three (3) calendar days cease and terminate. In the event of this termination, District may secure the required services from another Consultant. If expenses, fees, and/or costs to District exceed the cost of providing the service pursuant to this Agreement, Consultant shall immediately pay the excess expenses, fees, and/or costs to District upon the receipt of District's notice of these expenses, fees, and/or costs. The foregoing provisions are in addition to and not a limitation of any other rights or remedies available to District.

#### 14. Indemnification.

- 14.1. To the furthest extent permitted by California law, Consultant shall indemnify and hold harmless District, its Governing Board, agents, representatives, officers, consultants, employees, trustees, and volunteers (the "Indemnified Parties") from any and all claims arising out of, pertaining to, or relating to the negligence, recklessness, or willful misconduct of Consultant ("Claim"). Consultant shall, to the furthest extent permitted by California law, defend the Indemnified Parties at Consultant's own expense, including attorneys' fees and costs, from any and all Claim(s) and allegations relating thereto. District shall have the right to accept or reject any legal representation that Consultant proposes to defend the Indemnified Parties. Whereas the cost to defend the Indemnified Parties charged to Consultant shall not exceed the proportionate percentage of Consultant's fault as determined by a court of competent jurisdiction, any amounts paid in excess of such established fault will be reimbursed by District. Notwithstanding the previous sentence, in the event one or more defendants is unable to pay its share of defense costs due to bankruptcy or dissolution of the business, the design professional shall meet and confer with other parties regarding unpaid defense costs.
- 14.2. Consultant shall pay and satisfy any judgment, award, or decree that may be rendered against the Indemnified Parties in any Claim, subject to section 14.1 above. Consultant's obligation pursuant to this Article includes reimbursing District for the cost of any settlement paid by the Indemnified Parties and for any and all fees and costs, including but not limited to legal fees and costs, expert witness fees, and consultant fees, incurred by the Indemnified Parties in the defense of any Claim(s) and to enforce the indemnity herein, subject to section 14.1 above. Consultant's obligation to indemnify shall not be restricted to insurance proceeds.
- 14.3. District may withhold any and all costs that arise out of, pertain to, or relate to the negligence, recklessness, or willful misconduct of Consultant from amounts owing to Consultant.

#### 15. **Insurance**.

15.1. **Coverage**. Consultant shall procure and maintain at all times it performs any portion of the Services the following insurance with minimum limits equal to the amount indicated below.

| Type of Coverage  | Minimum          |
|---|------------------|
|   | Requirement      |
| Commercial General Liability Insurance, including Bodily                |                  |
| Injury, Personal Injury, Property Damage, Advertising Injury,           |                  |
| and Medical Payments  |                  |
| Each Occurrence   | \$ 1,000,000     |
| General Aggregate   | \$ 2,000,000     |
| Automobile Liability Insurance - Any Auto                               |                  |
| Each Occurrence   | \$ 1,000,000     |
| General Aggregate   | \$ 2,000,000     |
| Professional Liability  | \$ 1,000,000     |
| Workers Compensation  | Statutory Limits |
| <b>Employer's Liability</b> , per accident for bodily injury or disease | \$ 1,000,000     |

- 15.1.1. Commercial General Liability and Automobile Liability Insurance. Commercial General Liability Insurance and Any Auto Automobile Liability Insurance that shall protect Consultant, District, and the State from all claims of bodily injury, property damage, personal injury, death, advertising injury, and medical payments arising performing any portion of the Services. (Form CG 0001 and CA 0001, or forms substantially similar, if approved by District.)
- 15.1.2. Workers' Compensation and Employer's Liability Insurance. Workers' Compensation Insurance and Employer's Liability Insurance for all of its employees performing any portion of the Services. In accordance with provisions of section 3700 of the California Labor Code, Consultant shall be required to secure workers' compensation coverage for its employees. The Workers' Compensation policy shall be endorsed with a waiver of subrogation in favor of District for all work performed by Consultant, its employees, agents, and subcontractors. If any class of employee or employees engaged in performing any portion of the Services under this Agreement are not protected under the Workers' Compensation Statute, adequate insurance coverage for the protection of any employee(s) not otherwise protected must be obtained before any of those employee(s) commence performing any portion of the Services.
- 15.1.3. **Professional Liability (Errors and Omissions)**. Professional Liability Insurance as appropriate to Consultant's profession, coverage to continue through completion of construction plus three (3) years thereafter.
- 15.2. **Proof of Carriage of Insurance**. Consultant shall not commence performing any portion of the Services until all required insurance has been obtained and certificates indicating the required coverage have been delivered in duplicate to District and approved by District. Certificates and insurance policies shall include the following:
  - 15.2.1. Language stating in particular those insured, extent of insurance, location and operation to which insurance applies, expiration date, to whom cancellation and reduction notice will be sent, and length of notice period.
  - 15.2.2. A clause stating: "This policy shall not be canceled or reduced in required limits of liability or amounts of insurance until notice has been mailed to District, stating date of cancellation or reduction. Date of cancellation or reduction shall not be less than thirty (30) days after date of mailing notice."
  - 15.2.3. An endorsement stating that District and its Board of Trustees, agents, representatives, employees, trustees, officers, consultants, and volunteers are named additional insured under all policies except Workers' Compensation Insurance, Professional Liability, and Employers' Liability Insurance. An endorsement stating that Consultant's insurance policies shall be primary to any insurance or self-insurance maintained by District. An endorsement stating that there shall be a waiver of any subrogation.
  - 15.2.4. All policies except the Professional Liability, Workers' Compensation Insurance, and Employer's Liability Insurance Policies shall be written on an occurrence form.

- 15.3. **Acceptability of Insurers**. Insurance is to be placed with insurers with a current A.M. Best's rating of no less than A: VII, unless otherwise acceptable to District.
- 15.4. If Consultant normally carries insurance in an amount greater than the minimum amounts required herein, that greater amount shall become the minimum required amount of insurance for purposes of the Agreement. Therefore, Consultant hereby acknowledges and agrees that all insurance carried by it shall be deemed liability coverage for all actions it performs in connection with the Agreement.
- 16. **Compliance with Laws**. Consultant shall observe and comply with all rules and regulations of the governing board of District and all federal, state, and local laws, ordinances, and regulations. Consultant shall give all notices required by any law, ordinance, rule, and regulation bearing on conduct of the Services as indicated or specified. If Consultant observes that any of the Services required by this Contract is at variance with any such laws, ordinance, rules or regulations, Consultant shall notify District, in writing, and, at the sole option of District, any necessary changes to the scope of the Services shall be made and this Agreement shall be appropriately amended in writing, or this Agreement shall be terminated effective upon Consultant's receipt of a written termination notice from District. If Consultant performs any Services that is in violation of any laws, ordinances, rules or regulations, without first notifying District of the violation, Consultant shall bear all costs arising therefrom.
  - 16.1. **Labor Code Requirements**: Consultant shall comply with all applicable provisions of the California Labor Code, Division 3, Part 7, Chapter 1, Articles 1 5, including, without limitation, the payment of the general prevailing per diem wage rates for public work projects of more than one thousand dollars (\$1,000). Copies of the prevailing rate of per diem wages are on file with District.
    - 16.1.1. **Registration**: If applicable, before a public works contract can be awarded, Consultant and its subcontractor(s) shall be registered with the Department of Industrial Relations in accordance with Labor Code section 1771.1. At least one week before commencing work, Consultant shall provide to District the name and DIR registration number for Consultant and any applicable subcontractor.
    - 16.1.2. **Certified Payroll Records**: Consultant and its subcontractor(s) shall keep accurate certified payroll records of workers and shall electronically submit certified payroll records directly to the Department of Industrial Relations weekly or within ten (10) days of any request by District or the Department of Industrial Relations.
    - 16.1.3. **Labor Compliance**: Consultant shall perform the Services of the Project while complying with all the applicable regulations, including section 16000, et seq., of Title 8 of the California Code of Regulations and is subject to labor compliance monitoring and enforcement by the Department of Industrial Relations.
- 17. **Certificates/Permits/Licenses/Registrations**. Consultant and all Consultant's employees or agents shall secure and maintain in force such certificates, permits, licenses, and registrations as are required by law in connection with the furnishing of Services pursuant to this Agreement.

- 18. **Anti-Discrimination**. It is the policy of District that in connection with all work performed under contracts there be no discrimination against any employee engaged in the work because of race, religious creed, color, national origin, ancestry, physical disability, mental disability, reproductive health decisionmaking, medical condition, genetic information, marital status, sex, gender, gender identity, gender expression, age, sexual orientation, or veteran or military status and therefore Consultant agrees to comply with applicable Federal and California laws including, but not limited to the California Fair Employment and Housing Act beginning with Government Code Section 12900 and Labor Code Section 1735 and District policy. In addition, Consultant agrees to require like compliance by all of its subcontractor(s).
- 19. **Fingerprinting of Employees**. The Fingerprinting/Criminal Background Investigation Certification must be completed and attached to this Agreement prior to Consultant's performing of any portion of the Services. Consultant expressly acknowledges that the following conditions shall apply to any work performed by Consultant and/or Consultant's employees on a school site:
  - 19.1. All site visits shall be arranged through District;
  - 19.2. Consultant and Consultant's employees shall inform District of their proposed activities and location at the school site, allowing District time to arrange site visits without a disruption to the educational process;
  - 19.3. Consultant and/or Consultant's employees shall check in with the school office each day immediately upon arriving at the school site;
  - 19.4. Once at such location, Consultant and Consultant's employees shall not change locations without contacting District;
  - 19.5. Consultant and Consultant's employees shall not use student restroom facilities; and
  - 19.6. If Consultant and Consultant's employees find themselves alone with a student, Consultant and Consultant's employees shall immediately contact the school office and request that a member of the school staff be assigned to the work location.
- 20. **Disabled Veteran Business Enterprises**. Education Code section 17076.11 requires school districts using funds allocated pursuant to the State of California School Facility Program for the construction or modernization of a school building to have a participation goal of at least three percent (3%), per year, of the overall dollar amount expended each year by the school district, for disabled veteran business enterprises ("DVBE"). In accordance therewith, Consultant must submit, upon request by District, appropriate documentation to District identifying the steps Consultant has taken to solicit DVBE participation in conjunction with this Agreement, if applicable.
- 21. **No Rights in Third Parties.** This Agreement does not create any rights in, or inure to the benefit of, any third party except as expressly provided herein.
- 22. **District's Evaluation of Consultant and Consultant's Employees and/or Subcontractors**. District may evaluate Consultant in any way District is entitled pursuant to applicable law. District's evaluation may include, without limitation:

- 22.1. Requesting that District employee(s) evaluate Consultant and Consultant's employees and subcontractors and each of their performance.
- 22.2. Announced and unannounced observance of Consultant, Consultant's employee(s), and/or subcontractor(s).
- 23. **Limitation of District Liability**. Other than as provided in this Agreement, District's financial obligations under this Agreement shall be limited to the payment of the compensation provided in this Agreement. Notwithstanding any other provision of this Agreement, in no event, shall District be liable, regardless of whether any claim is based on contract or tort, for any special, consequential, indirect or incidental damages, including, but not limited to, lost profits or revenue, arising out of or in connection with this Agreement for the services performed in connection with this Agreement.
- 24. **Confidentiality**. Consultant and all Consultant's agents, personnel, employee(s), and/or subcontractor(s) shall maintain the confidentiality of all information received in the course of performing the Services. Consultant understands that student records are confidential and agrees to comply with all state and federal laws concerning the maintenance and disclosure of student records. This requirement to maintain confidentiality shall extend beyond the termination of this Agreement.
- 25. **Notice**. Any notice required or permitted to be given under this Agreement shall be deemed to have been given, served, and received if given in writing and either personally delivered or deposited in the United States mail, registered or certified mail, postage prepaid, return receipt required, or sent by overnight delivery service, or facsimile transmission, addressed as follows:

| <u>DISTRICT</u> :                              | <u>consultant</u> : |
|--|---------------------|
| San Rafael City Schools<br>310 Nova Albion Way | [NAME]              |
| San Rafael, CA 94903                           |                     |
| FAX:   | FAX:                |
| ATTN:  | ATTN:               |

Any notice personally given or sent by facsimile transmission shall be effective upon receipt. Any notice sent by overnight delivery service shall be effective the business day next following delivery thereof to the overnight delivery service. Any notice given by mail shall be effective three (3) days after deposit in the United States mail.

- 26. **Assignment**. The obligations of Consultant pursuant to this Agreement shall not be assigned by Consultant. Any such assignment shall be null and void.
- 27. **Integration/Entire Agreement of Parties**. This Agreement constitutes the entire agreement between the Parties and supersedes all prior discussions, negotiations, and agreements, whether oral or written. This Agreement may be amended or modified only by a written instrument executed by both Parties.
- 28. **California Law**. This Agreement shall be governed by and the rights, duties and obligations of the Parties shall be determined and enforced in accordance with the laws of the State of California. The Parties further agree that any action or proceeding brought to enforce the terms and conditions of this Agreement shall be maintained in the county in which District's administrative offices are located.

- 29. **Waiver**. The waiver by either party of any breach of any term, covenant, or condition herein contained shall not be deemed to be a waiver of such term, covenant, condition, or any subsequent breach of the same or any other term, covenant, or condition herein contained.
- 30. **Severability**. If any term, condition or provision of this Agreement is held by a court of competent jurisdiction to be invalid, void or unenforceable, the remaining provisions will nevertheless continue in full force and effect, and shall not be affected, impaired or invalidated in any way.
- 31. **Provisions Required By Law Deemed Inserted.** Each and every provision of law and clause required by law to be inserted in this Agreement shall be deemed to be inserted herein and this Agreement shall be read and enforced as though it were included therein.
- 32. **Authority to Bind Parties.** Neither party in the performance of any and all duties under this Agreement, except as otherwise provided in this Agreement, has any authority to bind the other to any agreements or undertakings.
- 33. **Attorney Fees/Costs.** Should litigation be necessary to enforce any terms or provisions of this Agreement, then each party shall bear its own litigation and collection expenses, witness fees, court costs and attorney's fees.
- 34. **Tolling of District's Claims.** Consultant agrees to toll all statutes of limitations for District's assertion of claims against Consultant that arise out of, pertain to, or relate to contractors' or subcontractors' claims against District involving Consultant's services under this Agreement, until the contractors' or subcontractors' claims are finally resolved.
- 35. **Captions and Interpretations.** Paragraph headings in this Agreement are used solely for convenience, and shall be wholly disregarded in the construction of this Agreement. No provision of this Agreement shall be interpreted for or against a party because that party or its legal representative drafted such provision, and this Agreement shall be construed as if jointly prepared by the Parties.
- 36. **Calculation of Time.** For the purposes of this Agreement, "days" refers to calendar days unless otherwise specified.
- 37. **Signature Authority.** Each party has the full power and authority to enter into and perform this Agreement, and the person signing this Agreement on behalf of each Party has been properly authorized and empowered to enter into this Agreement.
- 38. **Counterparts.** This Agreement may be executed in one or more counterparts, and all counterparts together shall be construed as one document. A facsimile or electronic signature shall be deemed to be the equivalent of the actual original signature. All counterparts so executed shall constitute one Agreement binding all the Parties hereto.
- 39. **Incorporation of Recitals and Exhibits**. The Recitals and each exhibit attached hereto are hereby incorporated herein by reference.

[SIGNATURES ON FOLLOWING PAGE]

| Dated:,   | 202_ | Dated:   | , 202   |
|---|------|--|---|
| San Rafael City Schools   |      |  |   |
| Signed By:  |      | Signed By:   |   |
| Print Name:   |      |  | _   |
| Print Title:  |      | Print Name:  |   |
| Information regarding Consultant License No.:   |      | Employer Identificat   |   |
| Address:  Telephone:  Facsimile:  |      | NOTE: Section 604 Revenue Code (26 and Section 1.604 the Code of Feder                         | 41 of the Interi<br>5 U.S.C. 6041)<br>1-1 of Title 26<br>al Regulations |
| E-Mail:   |      | (26 C.F.R. 1.6041-<br>recipients of \$600<br>furnish their taxp                                | 0.00 or more to   |
| Type of Business Entity: Individual Sole Proprietorship Partnership Limited Partnership Corporation, State: Limited Liability Company |      | to the payer. In o<br>with these require<br>requires Consulta<br>information reque<br>section. | rder to comply<br>ements, Distric<br>nt to furnish th                   |

# EXHIBIT A DESCRIPTION OF SERVICES TO BE PERFORMED BY CONSULTANT

Consultant's entire Proposal is **not** made part of this Agreement.

Consultant shall provide all geotechnical engineering services that the District, or its Board, officers, employees, representatives, or agents may request for each Project listed below. Consultant shall coordinate its Services with the District's representative, or his/her designee. Consultant shall also coordinate its Services with the District's other consultants.

| School Site | Property Address | Project Description |
|-------------|------------------|---------------------|
|             |                  |                     |
|             |                  |                     |
|             |                  |                     |
|             |                  |                     |
|             |                  |                     |
|             |                  |                     |

The Services to be provided by Consultant include, but are not limited to, the following:

The geotechnical portions of this Project shall be performed under the direction of a Geotechnical Engineer, which shall be the Geotechnical Engineer of Record for the Project. A technician with a nuclear gauge shall perform density and moisture testing in the field during grading, utility trench backfilling, and pavement operations utilizing ASTM D2922, D3017, and ASTM D1556 methods. Laboratory maximum density and optimum moisture determination shall be performed in accordance with ASTM D1557 or D698. Asphalt pavement placement and testing shall be performed in accordance with Caltrans methods.

#### Consultant shall provide:

- **1.** Perform a site reconnaissance, reviewing the geotechnical engineering report for this Project, reviewing the drawings, and preparing a transfer of geotechnical engineer of record responsibility letter.
- **2.** Project management, consultation during construction, preparation of daily field, foundation excavation observation, and final grading reports.
- **3.** Ensure soils conditions are in conformance to soils report.

- **4.** Foundation Inspection.
- **5.** Caisson, drilled piers or driven piles inspection.
- **6.** As-graded soils report.
- **7.** Observation and testing during site clearing and mass grading.
- **8.** Observing the foundations excavations for structures.
- **9.** Observation and testing during backfilling of utility trenches.
- **10.** Observation and testing during backfilling around retaining walls.
- **11.** Observation and testing during subgrade preparation and base rock placement in asphalt paved areas.
- **12.** Observation and testing during asphalt concrete placement.
- 13. Perform the following Sampling and Testing of Materials and Testing of Work-in-Place as may be required by the DSA Testing and Inspection Listing, and as required by the District. The Testing shall be performed in accordance with ASTM test methods and California test methods as appropriate. All Laboratory testing shall be accomplished in a DSA certified laboratory:
  - a. Soil, Aggregate & Asphalt
  - **b.** Maximum Dry Density
  - **c.** Expansion Index (ASTM D4318)
  - **d.** R-Value
  - e. Sand Equivalent
  - **f.** Sieve Analysis (ASTM C136)
  - **g.** Hveem Stability
  - **h.** Asphalt Extraction (ASTM 2172)
  - i. Hardness and Abrasion
  - **j.** Atterberg limits (ASTM 4318)
  - k. No. 200 Sieve Analysis (ASTM D422)
  - I. Specific Gravity C127/C128
  - **m.** Asphalt and Asphaltic Concrete Gradation (ASTM C136)
  - **n.** Asphalt and Asphaltic Concrete Specific Gravity (ASTM D1188)

- **o.** Asphalt and Asphaltic Concrete Stability & Flow Marshall (ASTM D1559)
- **p.** Asphalt and Asphaltic Concrete Abrasion (ASTM C131)
- **q.** Asphalt and Asphaltic Concrete Unit Weight (ASTM D2726)
- r. Asphalt Cores
- 14. Prepare and submit an interim Verified Report (form DSA 293 or more current form) to the DSA electronically and a copy to the Project Inspector for each of the applicable nine sections of form DSA 152 prior to the Project Inspector signing off that section of the project inspection card.
- Prepare and submit Verified Reports (form DSA 293 or more current form) to the DSA and to the Project Inspector if any of the following events occur: (1) when construction is sufficiently complete in accordance with the DSA-approved construction documents so that the District can occupy or utilize the Project, (2) work on the Project is suspended for a period of more than one month, (3) the services of the Consultant are terminated for any reason prior to completion of the Project, or (4) DSA requests a Verified Report.

Consultant shall maintain such personal contact with the Project as is necessary to assure themselves of compliance, in every material respect, DSA-approved construction documents. Personal contact shall include visits to the Project site by the Consultant or its qualified representative to observe construction. Observation and testing shall consist of visual observation of earthwork activities and taking field density and moisture tests for the purpose of ascertaining that the work is in substantial conformance with the contract documents, including the Project plans and specifications. Such observation and testing shall not be relied upon by others as acceptance of the work nor shall it be construed to relieve the contractor in any way from his obligation and responsibilities under the construction contract. Specifically, but without limitations, observation and testing shall not require the technician and engineer to assume responsibilities for the means and methods of construction nor for safety on the jobsite.

# EXHIBIT B HOURLY BILLING RATES

Consultant's entire proposal is **not** incorporated.

[INSERT HOURLY RATES AND FEE SCHEDULE FROM CONSULTANT; REMOVE ANY "FINE PRINT"/ADDITIONAL TERMS AND CONDITIONS]

#### **WORKERS' COMPENSATION CERTIFICATION**

Labor Code Section 3700 provides, in relevant part:

Every employer except the State shall secure the payment of compensation in one or more of the following ways:

- By being insured against liability to pay compensation by one or more insurers duly authorized to write compensation insurance in this State.
- By securing from the Director of Industrial Relations a certificate of consent to selfinsure, which may be given upon furnishing satisfactory proof to the Director of Industrial Relations of ability to self-insure and to pay any compensation that may become due to its employees.

I am aware of the provisions of Section 3700 of the Labor Code which require every employer to be insured against liability for workers' compensation or to undertake self-insurance in accordance with the provisions of that code, and I will comply with such provisions before commencing the performance of the Work of this Contract.

| Date:                 |  |
|-----------------------|--|
| Name of Consultant:   |  |
|                       |  |
| Signature:            |  |
| Print Name and Title: |  |

(In accordance with Article 5 – commencing at Section 1860, Chapter 1, part 7, Division 2 of the Labor Code, the above certificate must be signed and filed with District prior to performing any Services under this Agreement.)

# PREVAILING WAGE AND RELATED LABOR REQUIREMENTS CERTIFICATION

I hereby certify that I will conform to the State of California Public Works Contract requirements regarding prevailing wages, benefits, on-site audits with 48-hours' notice, payroll records, and apprentice and trainee employment requirements, for all Work on the above Project including, without limitation, labor compliance monitoring and enforcement by the Department of Industrial Relations.

| Date:                 |  |
|-----------------------|--|
| Name of Consultant:   |  |
| Signature:            |  |
| Print Name and Title: |  |

#### FINGERPRINTING CERTIFICATION/CRIMINAL BACKGROUND INVESTIGATION

The undersigned does hereby certify to District that I am a representative of Consultant entering into this Agreement with District; that I am familiar with the facts herein certified; and that I am authorized and qualified to execute this certificate on behalf of Consultant.

Consultant certifies that it has taken at least one of the following actions (check all that apply):

- □ The Work of the Agreement is either (i) at an unoccupied school site and no employee and/or subcontractor or supplier of any tier of the Agreement shall come in contact with District pupils or (ii) if Consultant's employees or any subcontractor or supplier of any tier of the Contract interacts with pupils, such interaction shall only take place under the immediate supervision and control of the pupil's parent or guardian or a school employee, so that the fingerprinting and criminal background investigation requirements of Education Code section 45125.1 shall not apply to Consultant under the Agreement.
- □ Consultant, who is not a sole proprietor, has complied with the fingerprinting requirements of Education Code section 45125.1 with respect to all Consultant's employees and all of its subcontractors' employees who may have contact with District pupils in the course of providing services pursuant to the Agreement, and the California Department of Justice has determined (A) that none of those employees has been convicted of a felony, as that term is defined in Education Code section 45122.1 and/or (B) that the prohibition does not apply to an employee as provided by Education Code section 45125.1(e)(2) or (3). When Consultant performs the criminal background check, it shall immediately provide any subsequent arrest and conviction information it receives to District pursuant to the subsequent arrest service. No work shall commence until the Department of Justice ascertains that Consultant's employees and any subcontractors' employees have not been convicted of a felony as defined in Education Code Section 45122.1.

A complete and accurate list of Consultant's employees and of all of its subcontractors' employees who may come in contact with District pupils during the course and scope of the Agreement is attached hereto as ATTACHMENT "A."

□ Consultant is a sole proprietor and intends to comply with the fingerprinting requirements of Education Code section 45125.1(h) with respect to all Consultant's employees who may have contact with District pupils in the course of providing services pursuant to the Agreement, and hereby agrees to District's preparation and submission of fingerprints such that the California Department of Justice may determine (A) that none of those employees has been convicted of a felony, as that term is defined in Education Code section 45122.1 and/or (B) that the prohibition does not apply to an employee as provided by Education Code section 45125.1(e)(2) or (3). No work shall commence until the Department of Justice ascertains that Consultant has not been convicted of a felony as defined in Education Code Section 45122.1.

Consultant's responsibility for background clearance extends to all of its employees, subcontractors, and employees of subcontractors coming into contact with District pupils regardless of whether they are designated as employees or acting as independent contractors of Consultant.

## FINGERPRINTING CERTIFICATION/CRIMINAL BACKGROUND INVESTIGATION

### **ATTACHMENT "A"**

### **List of Employees/Subcontractors**

| Name/Company:       |   |
|---------------------|---|
| Name/Company:       |   |
|                     |   |
|                     |   |
|                     | _   |
|                     |   |
|                     | _   |
|                     |   |
|                     |   |
|                     |   |
|                     |   |
|                     | or the list of employees/subcontractors, attach additional copies |
| Date:               |   |
| Name of Consultant: |   |
| Signature:          |   |
| Print Name:         |   |
| Title:              |   |

#### **ATTACHMENT B**

# NON-COLLUSION DECLARATION (Public Contract Code Section 7106)

| The undersigned declares:   |
|---|
| I am the of, the party making the foregoing  [Title] [Name of Firm]  bid/proposal.  |
| The bid/proposal is not made in the interest of, or on behalf of, any undisclosed person, partnership, company, association, organization, or corporation. The bid/proposal is genuine and not collusive or sham. The bidder/proposer has not directly or indirectly induced or solicited any other bidder/proposer to put in a false or sham bid/proposal. The bidder/proposer has not directly or indirectly colluded, conspired, connived, or agreed with any bidder/proposer or anyone else to put in a sham bid/proposal, or to refrain from bidding/proposing. The bidder/proposer has not in any manner, directly or indirectly, sought by agreement, communication, or conference with anyone to fix the bid/proposal price of the bidder/proposer or any other bidder/proposer, or to fix any overhead, profit, or cost element of the bid/proposal price, or of that of any other bidder/proposer. All statements contained in the bid/proposal are true. The bidder/proposer has not, directly or indirectly, submitted its bid/proposal price or any breakdown thereof, or the contents thereof, or divulged information or data relative thereto, to any corporation, partnership, company, association, organization, depository, or to any member or agent thereof, to effectuate a collusive or sham bid/proposal, and has not paid, and will not pay, any person or entity for such purpose. |
| Any person executing this declaration on behalf of a bidder/proposer that is a corporation, partnership, joint venture, limited liability company, limited liability partnership, or any other entity, hereby represents that he or she has full power to execute, and does execute, this declaration on behalf of the bidder/proposer.   |
| I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct and that this declaration is executed on,  [Date]   |
| at,<br>[City] [State]   |
| Date: Proper Name of Bidder/Proposer: Signature: Print Name: Title:   |

END OF DOCUMENT

#### **ATTACHMENT C**

# IRAN CONTRACTING ACT CERTIFICATION (Public Contract Code Sections 2202-2208)

Prior to bidding on or submitting a proposal for a contract for goods or services of \$1,000,000 or more, the bidder/proposer must submit this certification pursuant to Public Contract Code section 2204.

The bidder/proposer must complete **ONLY ONE** of the following two options. To complete OPTION 1, check the corresponding box **and** complete the certification below. To complete OPTION 2, check the corresponding box, complete the certification below, and attach documentation demonstrating the exemption approval.

| <b>OPTION 1.</b> Bidder/Proposer is not on the current list of persons engaged in investment activities in Iran created by the California Department of General Services ("DGS") pursuant to Public Contract Code section 2203(b), and we are not a financial institution extending twenty million dollars (\$20,000,000) or more in credit to another person, for 45 days or more, if that other person will use the credit to provide goods or services in the energy sector in Iran and is identified on the current list of persons engaged in investment activities in Iran created by DGS. |
|--|
| <b>OPTION 2.</b> Bidder/Proposer has received a written exemption from the certification requirement pursuant to Public Contract Code sections 2203(c) and (d). A copy of the written documentation demonstrating the exemption approval is included with our bid/proposal.  |

#### **CERTIFICATION:**

I, the official named below, CERTIFY UNDER PENALTY OF PERJURY, that I am duly authorized to legally bind the bidder/proposer to the OPTION selected above. This certification is made under the laws of the State of California.

| Vendor Name/Financial Institution (Printed) | Federal ID Number (or n/a) |
|---|----------------------------|
| By (Authorized Signature)                   |                            |
| Printed Name and Title of Person Signing    | Date Executed              |

END OF DOCUMENT